



RECEIVED

JUN 25 2004

TECHNOLOGY CENTER R3700

BOX MISSING PARTS
PATENT
1817-0116P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Emmet Joseph Howard Peter ANDREWS Conf.: 4454
Appl. No.: 09/985,863 Group:
Filed: November 6, 2001 Examiner: **COPY**
For: A SURGICAL FORCEPS

THIS IS NOT A NEW PATENT APPLICATION

LETTER SUBMITTING DOCUMENTS
FOR COMPLETION OF AN APPLICATION
PURSUANT TO 37 C.F.R. § 1.53(f) and/or § 1.53(d)

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231

January 28, 2002

Sir:

The application papers for the above-identified application were originally filed on November 6, 2001 and the application was assigned Appl. No. 09/985,863.

DOCUMENTATION

- ☒ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration of the inventor(s) (☒ original ☐ photocopy), necessary for completing the filing requirements in connection with the above-identified application.
- ☐ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration that was attached to the specification at the time of execution. The attached specification is a true copy of the specification that was filed in the U.S. Patent and Trademark office on November 6,

2001, including any amendments thereto (if applicable) filed on even date therewith.

☒ The undersigned hereby declares that "Attorney Docket No. 1817-0116P on page 1 of the attached inventors' Declaration, corresponds to Appl. No. 09/985,863, filed November 6, 2001, entitled "A SURGICAL FORCEPS."

☐ Attached are _____ () sheet(s) of formal drawings.

☒ Attached is a copy of the Notice to File Missing Parts of Nonprovisional Application.

☐ Attached is an English language translation of the above-identified application that was filed in a foreign language.

☐ See the attached Translator's Verification; or

☐ The undersigned states that the English translation attached hereto is a true and correct translation of the application as originally filed in a foreign language.

☐ Applicant claims small entity status under 37 C.F.R. § 1.27.

☐ Submitted concurrently herewith **under separate cover** for recording is an Assignment.

FEES

The Government Filing Surcharge(s) (37 C.F.R. § 1.16(e) and/or § 1.17(k)) and the basic Government Filing Fee(s) (37 C.F.R. § 1.16(a)-(d), if applicable) is/are attached hereto and calculated as follows:

- ☐ Basic Filing Fee(s): \$0.00 (37 C.F.R. § 1.16(a)-(d))
- ☒ The Government Filing Surcharge under 35 U.S.C. § 1.16(e)) for late filing of filing fee, oath and/or declaration:
 - ☐ Large Entity - \$130.00
 - ☒ Small Entity - \$ 65.00)
- ☐ The Government Filing Surcharge under 37 C.F.R. § 1.17(i)) for filing of translation of non-English Specification in the amount of \$130.00:
 - ☐ was previously paid for concurrently with the filing of the application on .
 - ☐ is attached hereto.
- ☐ No extension fee is required because the undersigned has not yet received the Notice to File Missing Parts of Nonprovisional Application. However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.
- ☐ Applicant hereby respectfully petitions for a () month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$0.00 is attached hereto.

- ☒ Check(s) in the amount of \$65.00 to cover the basic filing fee(s), surcharge fee(s), and any extension of time fee(s) (if applicable) is/are enclosed.
- ☐ Please charge Deposit Account No. 02-2448 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By 

Joseph A. Kolasch, #22,463

JAK/ndb
1817-0116P

P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

Attachments

(Rev. 12/03/01)



AF 3731
61
PATENT
1817-0116P

IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICANTS: Emmet J. H. P. ANDREWS CONF.: 4454
APPLN. NO.: 09/985,863 GROUP: 3731
FILED: November 6, 2001 EXAMINER: Roberts
FOR: SURGICAL FORCEPS

REQUEST FOR CORRECTION IN ATTORNEY OF RECORD

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

June 22, 2004

Sir:

Submitted herewith is a request for correction in the USPTO record for the Attorney of Record. A Combined Declaration and Power of Attorney form executed by the inventor on December 20, 2001 was filed in the USPTO on January 28, 2002. A copy of the Combined Declaration & Power of Attorney Form and the post card receipt bearing the stamp of January 28, 2002 are enclosed. The Attorney of Record is:

Birch, Stewart, Kolasch, & Birch, LLP or Customer No. 02292
P.O. Box 747
Falls Church, Virginia 22040-0747

Please update the Patent Office records to show the above correct information.

Respectfully submitted,
BIRCH, STEWART, KOLASCH & BIRCH, LLP

By:

Joseph A. Kolasch
Reg. No.: 22,463
P.O. Box 747

Falls Church, Virginia 22040-0747
Telephone: (703)205-8000

JAK/njp

Attachments: as stated

RECEIVED
JUN 25 2004
TECHNOLOGY CENTER R3700

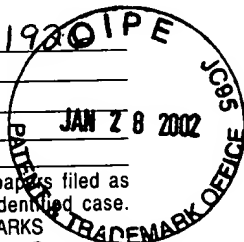


RECEIVED
JUN 25 2004
TECHNOLOGY CENTER R3700

Papers Filed herewith on: January 28, 2002
DOCKET NO.: 1817-0116P ATTY.: JAK
APPLICANT(S): Emmet Joseph Howard Ater ANDREWS
APPLN. NO: 09/985,863 FILED: 11/6/01
PAT NO.: _____

- ☐ New Application with Transmittal Letter
☐ Utility ☐ Design ☐ CIP ☐ PCT ☐ Provisional
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV
☐ Filing Under 37 CFR 1.53(d) (CPA)
☐ Filing Under 37 CFR 1.114(RCE)
☐ Specification Consisting of: _____ pages
☐ Combined Declaration & Power of Attorney
☐ Assignment / Cover Letter
☐ Letter to Official Draftsman
☐ Drawings _____ Sheets ☐ Formal ☐ Informal ☐ Red-ink
☒ Completion of Filing Requirements, PCT/DO/EO/905
or Formalities Letter and Executed Declaration
☐ Priority Document(s) / Cover Letter, No. Doc. _____
☐ Amendment: _____
☐ Transmittal Ltr ☐ Large Entity ☐ Small Entity
☐ Response
☒ Information Disc. Stmt. PTO-1449(s) 58x (6) ref(s)
☐ Notice of Appeal ☐ Appeal Brief
☐ Issue Fee Transmittal
☒ FEES: \$65.00 CHIT 402192
☐ Letter: _____
☐ Other: _____

DOCKET NO. 1817-0116P



Receipt is hereby acknowledged of the papers filed as
indicated in connection with the above identified case.
COMMISSIONER OF PATENTS AND TRADEMARKS
Due Date: January 27, 2002
Handcarry: _____